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3 1 **Greater than the sum of its parts? Modeling population contact and interaction of**
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6 2 **cultural repertoires**

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29 12 cultural accumulation; migration; population structure; connectivity; archaeology
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3 24 **Abstract**
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5 25 Evidence for interactions between populations plays a prominent role in the
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8 26 reconstruction of historical and prehistoric human dynamics; these interactions are
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11 27 usually interpreted to reflect cultural practices or demographic processes. The sharp
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13 28 increase in long-distance transportation of lithic material between the Middle and Upper
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15 29 Paleolithic, for example, is seen as a manifestation of the cultural revolution that defined
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17 30 the transition between these epochs. Here, we propose that population interaction is not
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20 31 only a reflection of cultural change but also a potential driver of it. We explore the
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22 32 possible effects of inter-population migration on cultural evolution when migrating
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24 33 individuals possess core technological knowledge from their original population. Using a
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26 34 computational framework of cultural evolution that incorporates realistic aspects of
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28 35 human innovation processes, we show that migration can lead to a range of outcomes,
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30 36 including punctuated but transient increases in cultural complexity, an increase of cultural
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32 37 complexity to an elevated steady state, and the emergence of a positive feedback loop
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34 38 that drives ongoing acceleration in cultural accumulation. Our findings suggest that
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36 39 population contact may have played a crucial role in the evolution of hominin cultures
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38 40 and propose explanations for observations of Paleolithic cultural change whose
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40 41 interpretations have been hotly debated.
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47 **Introduction**

48 Long-distance hominid mobility, which likely correlates with inter-population
49 connectivity, can be inferred from various aspects of the archaeological record; for
50 example, transportation of material and artifacts over distances greater than 100 km
51 occurred sporadically in the Middle Paleolithic and regularly in the Upper Paleolithic [1].
52 This feature of the Upper Paleolithic revolution is usually attributed to demographic
53 processes, changes in subsistence strategies, or other cultural shifts [1,2]. We suggest that
54 inter-population connectivity may be more than a reflection of cultural advancement: it
55 may have been critical in *driving* such change. In this study, we explore the cultural
56 dynamics that may result from population contact.

57 Connectivity within and between populations has been proposed, in theoretical
58 and anthropological studies, to dramatically influence cultural evolution [3–9]. An
59 experimental human-interaction study showed that groups produce more complex
60 artifacts than individuals acting alone [10], and several anthropological studies and
61 evolutionary models suggest a relationship between group size and technological
62 complexity (e.g. [11–13]). In the workplace, novel innovations appear more often when
63 members of different groups interact [14]. Further, experimental groups that
64 independently accumulated traits and then combined their knowledge made successful
65 innovative combinations not observed in fully connected groups [15]. Similarly, a recent
66 model simulated contact between populations with a continuum of mobility strategies,
67 from remaining near a home base to constantly moving, with no home base [16]; the
68 results suggested that intermediate strategies, which might ensure both regular contact
69 with new populations and enough contact time to accurately transmit information,

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3 70 maximized cultural transmission across population boundaries. From their archaeological
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5 71 analyses, Stiner and Kuhn suggested that the connectedness of the Upper Paleolithic
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8 72 could have stabilized technological volatility, decreasing risk and increasing demographic
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10 73 robustness [17]. Along the same lines, Hovers [18] proposed that population
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12 74 interconnectedness prevents local loss of culture, and that the Middle Paleolithic record
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14 75 reflects a pattern of cultural extinction and re-invention, stemming from instability of
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16 76 transmission networks. These empirical and theoretical studies suggest that modeling the
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18 77 effect of inter-population interactions on overall cultural complexity may be useful in
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20 78 interpreting the archaeological record of hominid culture.
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25 79 One of the apparent features of the time trajectory of culture is that it includes
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27 80 periods of relative stasis that are separated by bursts of cultural accumulation; these
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29 81 increases can differ in both timescale and magnitude [19–22]. Previous explanations for
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31 82 punctuations in the archeological record have invoked a cultural reaction to such factors
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33 83 as genetic/cognitive change in hominids or environmental change that alters the
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35 84 population's cultural steady state [21,23–27]. In a previous study, we proposed that
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37 85 independent innovation processes can explain cultural bursts: if a cultural advance
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39 86 facilitates associated innovations and novel trait combinations, then a purely cultural
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41 87 mechanism can trigger a cascade of related innovations and punctuated cultural bursts
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44 88 [28].
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48 89 An alternative driver of punctuation could be sudden changes in the parameters of
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50 90 cultural evolution, such as those brought about by modification of the *biological carrying*
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52 91 *capacity*, the number of individuals that the available resources can support [29]. Thus, a
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54 92 cultural trait, for example a tool or practice related to agriculture [30,31], could increase
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3 93 food availability and the biological carrying capacity. The resulting population growth
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5 94 might correspond to an increased cultural repertoire, as predicted by experiments, some
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8 95 cross-cultural analyses, and cultural-evolutionary models [11–13,32–40]. Notably, these
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10 96 carrying-capacity-altering cultural shifts can lead to much greater cultural accumulation
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12 97 [29] than those induced by a cascade of related innovations in the model of [28].
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15 98 Most models of cultural evolution consider the spread of existing traits, but only a
16
17 99 handful explicitly model the innovation processes that underlie the origin of new traits
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19 100 [28,41,42]. Some models have addressed the effect of population structure; for example,
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21 101 migration among subpopulations may affect the population's cultural diversity [37,40,43]
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23 102 and the accumulation of errors [43]. Further, migration among subpopulations could
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25 103 affect the cultural repertoire, both because cultural loss is less likely with access to more
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27 104 cultural models [12] and because rare innovations are more likely to spread throughout
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29 105 the population [44]. In [44], migration and population size had a greater effect on pre-
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31 106 equilibrium dynamics than on the cultural equilibrium of a population, but this analysis
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33 107 examined the skill level of a finite cultural repertoire as opposed to additions to a
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35 108 potentially limitless cultural repertoire.
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41 109 To study the effects of inter-population contact on cultural dynamics, we develop
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43 110 a theoretical framework that considers jointly cultural contact, innovation, and modifiers
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45 111 of biological carrying capacity. Here, populations innovate and accumulate cultural traits
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47 112 independently, and individuals migrate between populations bringing the core
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49 113 technologies invented in their original population, which facilitates cultural change. In
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51 114 addition, technologies can be combined to form new tools, and the many novel
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53 115 combinations that become possible following a migration event may potentially trigger a
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3 116 burst of innovations. As in real-life human cultures, the potential number of cultural traits
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6 117 in our model is theoretically unlimited.
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8 118 By considering the effects of both population size and population structure on
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10 119 cultural accumulation, our model addresses human experimental and archaeological data.
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12 120 In particular, our model suggests that large-scale punctuation in the archaeological record
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14 121 can result from an increase in inter-population connectivity. We explore the possibility
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16 122 that cultural contact is a primary driver of rapid cultural change and characterize patterns
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18 123 that would emerge under different migration regimes.
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24 125 **The model**

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27 126 We extend the model of Kolodny *et al.* [28] to include multiple populations
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29 127 whose cultures independently innovate and evolve. We simulate the effects of migration
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31 128 and cultural interactions between these populations. In the model, we assume that each
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33 129 individual has some probability of innovating and of migrating, so the overall rates of
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35 130 innovation and migration in the population are proportional to population size. Similarly,
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37 131 we assume that cultural traits are more susceptible to loss when fewer people know them,
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39 132 so the overall rate of cultural loss is inversely related to population size. Finally, we
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41 133 assume that certain rare innovations, such as those that increase the food supply, can
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43 134 increase carrying capacity and thus affect population size. Although one cannot
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45 135 generalize to all human populations from a single model, a body of empirical and
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47 136 theoretical literature supports these assumptions (e.g. [11,13,30,34–38,45]).
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53 137 In the model, three interacting processes contribute to human tool innovation, as
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55 138 in [28]. The first process produces groundbreaking large-scale innovations, or *lucky*
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3 139 *leaps*, which occur with probability P_{lucky} per individual per time step. Each lucky leap
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5 140 innovation facilitates two other tool innovation processes. First, a number of tools are
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8 141 made useful by each lucky leap; these are termed *toolkit innovations*. There are L toolkit
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10 142 innovations associated with each lucky leap, where L is sampled from a uniform
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12 143 distribution $U(1,11)$.

14 144 Lucky leap innovations can also combine with other lucky leap innovations to
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16 145 produce *innovative combinations*, which are useful to the population with probability
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18 146 $P_{combUseful}$. With only lucky leaps allowed to combine, this relatively conservative
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20 147 combination scheme represents the notion that groundbreaking ideas are often widely
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22 148 applicable to other existing technologies. For simplicity, we assume that all potential
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24 149 useful combinations and toolkit tools are innovated immediately upon the lucky leap's
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26 150 invention, which is equivalent to the assumption that an individual tests more than one
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28 151 combination per time step.

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33 152 Several models represent cultural traits as skills and track variation in individual
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35 153 skill levels [12,13,35,44], and others track the presence of traits in individual cultural
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37 154 repertoires [34,37,40,46]; in these studies, transmission between individuals is explicitly
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39 155 modeled, and cultural complexity increases with population size. Here, we build upon
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41 156 these findings to simplify the transmission process: we track the population-level
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43 157 presence of traits, as in [36,38], and we assign a probability that a trait will arise and
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45 158 spread in the population rather than focusing on individual-level transmission processes.

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48 159 Finally, at each time step, tools may be stochastically lost due to drift. Because
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50 160 the rate of cultural loss likely decreases as the population grows [13], we scale the loss
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52 161 parameter, $P_{SpontLoss}$, by the population size: $P_{SpontLoss}/N$. This loss probability
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3 162 encapsulates numerous possible loss processes, including failed transmission between
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5 163 individuals and fluctuating trait frequencies that may decrease to extinction by chance. In
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8 164 reality, a certain trait's probability of loss depends on many factors, including its ease of
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10 165 transmission, effect on biological fitness, and usefulness in the current environment [28];
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12 166 for simplicity, we use a mean probability of loss for all traits. (A similar approximation is
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14 167 found in [36] and the Supplement of [38], where agent-based transmission of knowledge
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16 168 is taken into account.) This rate of stochastic trait loss is a useful first approximation that
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18 169 captures how loss might scale with population size and how even important traits can be
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20 170 lost [13].
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25 171 When a lucky leap tool is lost, the toolkit and combination tools associated with
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27 172 it are also lost. Toolkit innovations and combination tools, however, can be individually
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29 173 lost without affecting other tools. These tools may also be re-invented in later time steps,
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31 174 if the lucky leap innovations with which they were associated remain in the population.
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33 175 This occurs with toolkit and combination tools, respectively, with probabilities $P_{Toolkit}$ and
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35 176 $P_{Combine}$ per individual per time step.
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39 177 In these agent-based stochastic simulations, each process occurs with a given
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41 178 probability per time step; thus, every run of the stochastic simulation is unique. In the
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43 179 Supplement, we also give equations for the *expected* effect of each process under
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45 180 simplifying assumptions.
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48 181 The framework outlined above is sufficient to produce punctuated bursts of
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50 182 innovations after periods of stasis, since a lucky leap innovation can facilitate the
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52 183 relatively rapid addition of combinations and toolkit innovations [28]. When tools can be
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184 lost as well as added, the number of each type of tool (n_{lucky} , $n_{toolkit}$, n_{comb}) approaches a
 185 steady state (derived in the Supplement):

$$186 \quad n_{lucky}^* = \frac{N^2 \cdot P_{lucky}}{P_{SpontLoss}} \quad (1)$$

$$187 \quad n_{toolkit}^* = \frac{N^2 \cdot P_{lucky} \cdot \langle L \rangle}{2 \cdot P_{SpontLoss}} \quad (2)$$

$$188 \quad n_{comb}^* = \frac{N^4 \cdot P_{lucky}^2 \cdot P_{CombUseful}}{2 \cdot P_{SpontLoss}^2} \quad (3),$$

189 where N is the population size and $\langle L \rangle$ is the mean number of toolkit innovations
 190 associated with each lucky leap tool.

191 Here, we extend this framework [28] to include the effects of population size and
 192 cultural contact. First, we implement multiple simulations of the model simultaneously,
 193 generating independent populations that undergo innovation and cultural evolution. (The
 194 present framework can simulate many interacting populations with qualitatively similar
 195 results; **Figures 1-5** display two or three populations for ease of visualization.) Then,
 196 individuals migrate between populations with probability $P_{migrate}$ per time step. An
 197 individual enters a new population carrying with it some fraction, $f_{migrant}$, of the full
 198 repertoire of core technologies in its original population, i.e. its repertoire of lucky leap
 199 innovations and their associated toolkits. We explore the dynamics of population-level
 200 subdivision of knowledge in [28]; for simplicity in the present study, in **Figures 1-4** we
 201 set $f_{migrant}=1$, i.e. each migrant carries its originating population's full cultural repertoire,
 202 which does not influence the results qualitatively. Following migration, the migrant-
 203 receiving population can test many potential combinations between its existing lucky

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3 204 leaps and the newly arrived lucky leaps. Each of these potential combinations is useful
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5 205 with probability $P_{combUseful}$, which we set equal to 1 in the following simulations to
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8 206 illustrate the potential scale of the effects of combining cultures. In reality, cultural trait
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10 207 combinations are not necessarily useful but are also not restricted to combinations of
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13 208 large-scale lucky-leap innovations.

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15 209 In order to consider separately the effects of migration and the effects of changes
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17 210 in population size, we assume that a migration event occurs according to a Moran model
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20 211 [47], with no change in size of either population: the migrant can be thought of as
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22 212 replacing an individual that died in the population it is joining, and its place in its original
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24 213 population is filled by a newborn individual. Lucky leap innovations that occur
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27 214 independently in different populations are assumed to be distinct, so two populations will
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29 215 initially have no tools in common. An individual from population 2 that joins population
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31 216 1 brings its lucky leap innovations and their associated toolkits. Once this occurs, a lucky
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33 217 leap that originated in population 2 can be combined with lucky leaps in population 1.
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35 218 For example, combining tool A from population 1 with tool B that originated in
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37 219 population 2 would lead to the combined tool AB . Also, all combinations of innovations
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39 220 A and B are identical to one another, even if the process that combined them occurred
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42 221 independently in different populations or occurred in a different order ($BA=AB$).

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45 222 In human history, fortuitous innovations enabled increases in carrying capacity,
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47 223 resulting in population growth [30,31], which then likely facilitated larger cultural
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49 224 repertoires. We include this possibility in our model: with probability
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51 225 $P_{IncreaseCarryingCapacity}$, each new combination increases the biological carrying capacity of
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54 226 the population. If this stochastic event occurs at time t , the population size (N) increases
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4 227 by a factor of C , sampled from a uniform distribution $U(1,1,1,2)$: $N_{t+1} = N_t \cdot C$. Since
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6 228 $P_{IncreaseCarryingCapacity}$ acts on each new combination, carrying-capacity-altering traits are
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9 229 likely to arise when two cultures are connected by migration. We hypothesize that
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11 230 carrying-capacity-altering traits are resistant to cultural loss because the effects of losing
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13 231 the behavior (for example, less available food) will quickly put pressure on the
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16 232 population to reintroduce it. Thus, carrying-capacity-altering combinations are placed in a
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18 233 distinct category of tools with their own loss probability, which we set to zero in the
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21 234 results presented below.
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237 **Results**

238 *The effect of population size on the cultural repertoire*

239 Since each individual may invent a novel tool with some probability, the rate of
240 tool accumulation increases with population size. This accords with most models of
241 cultural evolution, despite different approaches [12,37,39–41,46,48]. Loss of tools in our
242 model is not implemented explicitly as a result of failed cultural transmission. To
243 approximate tool loss, we implement directly the main qualitative finding of previous
244 models with explicit transmission processes: a tool's probability of loss is inversely
245 dependent on the population size, because additional tool users decrease the likelihood of
246 failed transmission [28,36,38]. The combination of innovation and loss in our model lead
247 to a nonlinear relationship between repertoire size and population size (equations 1-3):
248 repertoire size scales with N^2 for lucky leap and toolkit innovations and with N^4 for
249 combination innovations. Slight variations of our model, such as different combination

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3 250 rules, would lead to somewhat different relationships between population size and
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5 251 repertoire size, but qualitatively, the expected correlation is polynomial in N (see also
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8 252 [28]).
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10 253 Because of this nonlinear dependence on population size, population subdivision
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12 254 has a dramatic effect on cultural repertoire size: a population of size $2N$ has a much
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14 255 higher cultural steady state in our model than the sum of two populations of size N
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17 256 (**Figure 1**). Since the relationship between N and repertoire size is highly sensitive to the
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19 257 details of the model, which is inevitably a gross simplification of reality, we do not
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21 258 attempt to fit our model's numerical results to empirical data. However, linking the
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23 259 qualitative trends produced by our model with empirical findings can be useful. Our
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25 260 model's prediction of a *polynomial* dependency of repertoire size on N implies that small
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27 261 differences in population size or connectivity can lead to previously underappreciated
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29 262 *disproportionate differences* in cultural complexity. This may help explain features of the
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31 263 transition from the Middle to the Upper Paleolithic, as elaborated below.
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265 *The effect of rare migration on the cultural repertoire*

266 In our model, combining existing tools can produce new innovations. As a result,
267 many new combinations are suddenly possible after an initial migration event, and testing
268 these new combinations leads to a rapid burst of innovations. However, since we assume
269 that the population size remains constant after migration [47], the cultural steady state is
270 also constant. Thus, after the initial burst of innovation, the cultural repertoire gradually
271 decays to approach the original steady state (**Figure 2**).
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3 273 *The effect of frequent migration on the cultural repertoire*
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5 274 As the migration rate, $P_{migrate}$, increases, the cultural repertoire of the receiving
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8 275 population does not have enough time between migration events to decay to the steady
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10 276 state (**Figure 3**); thus, average cultural repertoire size increases. The effect of migration
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12 277 on the cultural steady state becomes more apparent as the migration rate increases: with
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14 278 more frequent migration, bursts of cultural accumulation no longer occur, and a
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16 279 population has a relatively stable cultural repertoire that is substantially larger than the
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18 280 steady state predicted by its population size. This result accords with the findings of
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20 281 Powell *et al.* [12] regarding possible differences between world regions. Notably, in our
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22 282 model frequent migration between two populations of size N produces a total cultural
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24 283 repertoire that is smaller than that of an unstructured population of size $2N$ because the
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26 284 loss parameter, $P_{SpontLoss}$, is still scaled by N and not $2N$.
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34 286 *Migration and carrying capacity changes*
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36 287 In our model, following migration, tools that arose in separate populations can
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38 288 combine, and each may, with some probability, be a tool that increases carrying capacity.
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40 289 When this happens, the cultural steady state also increases, leading to more stepwise
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42 290 accumulation of culture (**Figure 4A**) instead of a burst-and-decay pattern (**Figure 2**).
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44 291 Carrying-capacity-altering innovations could also initiate a feedback loop: when the
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46 292 carrying capacity changes, the population grows and both the cultural repertoire and the
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48 293 effective migration rate increase, which further increases the likelihood that other
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50 294 carrying-capacity-altering innovations occur, ratcheting the cultural steady state upward
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53 295 (**Figure 4B**).
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3 296 Interestingly, if migration is intermediate in frequency, populations may evolve
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6 297 while remaining culturally distinct: core technologies are transmitted, but without the
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8 298 combination tools that are associated with each, and cultural losses are stochastic; thus,
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10 299 the combinations that arise in different populations only partially overlap. Major
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12 300 innovations, such as those that increase carrying capacity, are very likely to spread
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14 301 between the populations and remain shared, because of their adaptive value (our model
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16 302 implements this via the assumption that carrying-capacity-altering innovations are not
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18 303 stochastically lost; see also [5,12]). These shared carrying-capacity increases lead to
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20 304 populations of similar size and thus similar cultural complexity (equations 1-3). For a
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22 305 while, separate populations could co-develop: changes in population sizes and cultural
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24 306 complexity would occur separately in each population, but with higher correlation in
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26 307 timing than expected for independent populations (**Figure 5A-C**). Populations would
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28 308 only remain separate temporarily: because population growth increases overall migration
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30 309 rate, eventually migration occurs frequently enough to prevent significant differentiation
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32 310 between the cultures (**Figure 5D**). Note that $P_{migrate}$, an individual's migration
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34 311 probability, changes in **Figure 5** at predetermined time steps, demonstrating the possible
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36 312 effect of sudden changes in migration rate.
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314 **Discussion**

315 Human innovation is a multifaceted process [42], but most models of cultural
316 evolution primarily consider the transmission of existing cultural traits. To address this,
317 we have proposed models that assess the role of interdependent innovation processes in
318 causing cultural accumulation within a population [28,29]. However, recent experimental

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3 319 evidence underscores the importance of innovation that occurs *between* populations:
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5 320 groups with independently evolving cultural repertoires can produce useful new
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8 321 innovations by combining their existing innovations [15].
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10 322 Here, we propose a fairly simple model that synthesizes these two research areas:
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12 323 multiple independent populations undergo processes of innovation and cultural
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14 324 accumulation separately, and migration allows the populations' cultural repertoires to be
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16 325 combined, producing additional innovations. Further, we consider that some of these
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18 326 novel cultural combinations might alter the biological carrying capacity of the population,
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20 327 causing population growth and a resulting increase in the cultural steady state, the
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22 328 population's expected number of cultural traits.
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27 329 Our model produces five prominent patterns that appear to differ from those of
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29 330 most previous model-based studies. (1) We observe a polynomial relation between
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31 331 population size and cultural complexity, causing small changes in N to have
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33 332 disproportionate effects on repertoire size. (2) We find that rare migration may lead to
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35 333 transient emergence of cultural complexity, which subsequently decays in small or
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37 334 relatively disconnected populations. (3) Changes in migration rates may increase
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39 335 effective cultural population sizes with no change to local population sizes, potentially
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41 336 driving changes in cultural complexity. (4) If culture affects carrying capacity or range
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43 337 expansion and if population size influences migration, a positive feedback loop may
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45 338 develop in which population growth, inter-population contact, and cultural complexity
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47 339 interact. Such a feedback loop could have driven the demographic and cultural explosion
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49 340 that occurred in Eurasia shortly after the Middle to Upper Paleolithic transition, since
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51 341 these three components are prominent characteristics of this transition [1,2,49–53].
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3 342 Cultural innovations, such as inventions that change subsistence patterns or facilitate
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5 343 expansion to previously uninhabitable climates, could have driven population increases;
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8 344 support for both elements is found in the archaeological record [2,54–61]. (5) Complex
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10 345 cultural patterns may arise when multiple populations interact and exchange knowledge
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12 346 at intermediate frequencies, potentially driving one another towards related, but non-
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15 347 identical, trajectories of population growth and increased cultural complexity. These
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17 348 dynamics are transient if they subsequently increase migration, which eventually links the
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19 349 populations and homogenizes their cultures.

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22 350 A single narrative is unlikely to accommodate the full range of archaeological
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24 351 observations regarding cultural evolution in the Paleolithic. Instead, we propose that the
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26 352 patterns derived from our model may contribute to attempts to understand the
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28 353 archaeological record. By predicting a nonlinear relationship between population size and
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30 354 cultural repertoire, our model raises the possibility that undetectable increases in
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32 355 population size could drive disproportionately large changes in cultural complexity;
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34 356 alternatively, an increase in connectivity among populations, without population growth,
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36 357 could increase effective cultural population size and lead to cultural transition.

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39 358 For example, although anatomically modern humans evolved in Africa ~160-
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41 359 200ka [62–64], behavioral modernity occurred significantly later, with the full “package”
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43 360 of cultural traits characteristic of the Upper Paleolithic occurring only ~45ka in the
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45 361 Levant, Europe, Western Asia, and perhaps East/South Africa [2,65–69]. Estimates based
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47 362 on genetic and archaeological evidence indicate that both population sizes and densities
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49 363 increased in these regions near this time [70,71]. Our model predicts a nonlinear
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51 364 relationship between population size and cultural complexity, which suggests that
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3 365 cultural evolutionary dynamics could have driven the transition to behavioral modernity;
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5 366 thus, invoking biological change to explain this transition (as do [72,73]) is unnecessary.
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8 367 Qualitatively similar results and interpretations, relating cultural complexity to population
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10 368 size and migration in the transition to the Upper Paleolithic, have been suggested by
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12 369 Powell et al. [12]. In addition, archaeological evidence does not unequivocally support
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14 370 significant population growth in Africa 50-45ka [74,75], which has generated criticism
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16 371 towards attributing behavioral modernity's emergence to population size [11,76,77]. Our
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18 372 model's prediction that small changes in population sizes or migration patterns could
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20 373 drive large cultural change may contribute to this discussion. Further, a major
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22 374 characteristic of the Upper Paleolithic revolution is the dramatic increase in the distance
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24 375 of material and artifact transportation [1,2,78,79]. Since an increase in contact can
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26 376 effectively connect populations, thus forming a single meta-population with a larger
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28 377 cultural repertoire, our results suggest that connectivity could have been a major *driver* of
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30 378 this cultural revolution and not just one of its *outcomes* (see also [43], which analyzes the
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32 379 combined effect of connectedness with demographic fluctuation and local extinction).
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39 380 A nonlinear relationship between population size and cultural complexity also
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41 381 provides a possible explanation for the occurrence of full behavioral modernity only
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43 382 among modern humans: estimates from genetic diversity suggest that Neanderthals had a
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45 383 three-fold smaller effective population size than modern humans [80–82]. Neanderthals
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47 384 and modern humans may have had similar cognitive and physical capacity for behavioral
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49 385 modernity [83–86], yet behavioral modernity only occurs in humans following the
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51 386 Neanderthal replacement [52,87].
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3 387 In our model, when an individual migrates to a new population, the receiving
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6 388 population experiences a cultural burst because many novel combinations of innovations
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8 389 are suddenly possible. However, when migration is very rare, the population size and thus
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10 390 the cultural steady state remain constant, and the receiving population experiences a
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12 391 gradual decay to its original steady-state repertoire size (**Figure 2**). This decay in the
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14 392 cultural repertoire after the initial acquisition of imported knowledge has precedents in
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16 393 the anthropological literature: even beneficial cultural traits from one population do not
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18 394 necessarily spread in another [88,89], potentially because of conflicting cultural norms
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20 395 [89] or language barriers [90]. Our model demonstrates that these complex cultural
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22 396 dynamics might occur, without making assumptions about social networks or
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24 397 transmission rules.
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29 398 A population's migration rate may depend on numerous factors, including
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31 399 geographical boundaries, subsistence strategies, and cultural practices, which may help
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33 400 explain the patchy appearance and disappearance of stone tool techno-complexes and
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35 401 other cultural practices during the Lower and Middle Paleolithic [2,18,91]. More frequent
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37 402 contact between two populations would effectively increase the tool repertoire at steady
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39 403 state, since migrants may reintroduce cultural traits before the receiving population's
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41 404 repertoire can fully decay to its steady state (**Figure 3**). Migration can thus foster an
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43 405 elevated level of culture, either because migration occurs regularly or because the cultural
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45 406 steady state increases by some other mechanism.
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50 407 We explore one such mechanism by considering cultural traits that alter the
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52 408 biological carrying capacity. Throughout history and prehistory, cultural innovations
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54 409 have enabled human populations to extract more resources from their habitat, likely
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3 410 leading to population growth and subsequent increase of the cultural repertoire. In our
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5 411 model, when independent cultures come into contact, we assume that with small
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7 412 probability an innovative combination of their traits will increase the biological carrying
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9 413 capacity. The increased carrying capacity allows population growth, in turn elevating the
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11 414 cultural steady state. Thus, after a carrying-capacity-altering innovation occurs, the
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13 415 contact-induced burst of innovative combinations persists instead of decaying (**Figure 4**).
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17 416 The results in **Figure 5** provide a possible explanation for one of the hotly
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19 417 debated observations in the transition between the Middle and Upper Paleolithic in
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21 418 Europe: the transient prosperity of many cultures within a relatively short timespan near
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23 419 the Middle-Upper Paleolithic transition, such as the Uluzzian, Bachokirian,
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25 420 Châtelperronian, Bohunician, and Proto-Aurignacian [92–96], which were distinct yet
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27 421 shared a number of characteristics that set them all apart from Middle Paleolithic cultures
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29 422 [51,87,95,97–103]. This relatively sudden appearance of multiple distinct complex
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31 423 cultures with shared features is unlikely to be a coincidence. It could have been brought
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33 424 about by gradual diffusion of core technologies via rare migration, creating an increase in
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35 425 cultural complexity, which was coordinated among multiple localities yet rare enough to
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37 426 maintain differences between them, as seen in **Figure 5** ($t < 500$). Our model proposes that
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39 427 with time, cultural evolution could have affected population sizes and, accordingly, also
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41 428 the migration rates between them, leading to decreasing cultural differentiation between
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43 429 local populations (**Figure 5**, $t > 500$). The prehistoric record in Eurasia is characterized by
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45 430 a similar pattern: an increase in rates of population interaction in the Upper Paleolithic
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47 431 [1,2,78,79], driven by population growth or by behavioral change, and replacement of the
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3 432 multitude of techno-complexes near the Middle-Upper Paleolithic transition by the
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5 433 Aurignacian [87,97,98,100].
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8 434 Another conspicuous archaeological pattern is the sporadic transient appearance
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10 435 of “advanced” behaviors, characteristic of the Upper Paleolithic (Late Stone Age in
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12 436 Africa), well within the Middle Stone Age: these include evidence of abstract art such as
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14 437 engraved ochre pieces and incised ostrich eggs, personal ornamentation such as shell
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16 438 beads, and advanced bone and stone tools [2,62,104–112]. A possible explanation of the
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18 439 transient nature of these phenomena is that, as in our simulations, the populations in
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20 440 which they occurred were too small and disconnected from one another to stably
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22 441 maintain complex culture (see also [18]).
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27 442 Many large-scale cultural shifts have been attributed to external factors, such as
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29 443 environmental change and resource availability [23,113,114], or cognitive and genetic
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31 444 changes [21,25–27]; in these examples, non-cultural changes facilitate a cultural
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33 445 response, resulting in increased cultural accumulation. Here, we have explored two
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35 446 *cultural* factors that can provoke bursts of innovation: population contact via migration,
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37 447 and modification of the biological carrying capacity. A recent archaeological study [115]
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39 448 suggested that large cultural changes facilitate human expansion to new areas. Building
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41 449 on this idea, migration could introduce new information to a population, leading to range
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43 450 expansion, which could be another sense in which cultural changes could generate
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45 451 population growth. This raises a direction of causality question in interpreting the
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47 452 Paleolithic revolution: did increased migration bring about cultural bursts, leading to
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49 453 increased carrying capacities and resulting growth across populations? Or did a carrying-
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51 454 capacity-modifying innovation occur in one population, which in turn brought about
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3 455 cultural changes that subsequently facilitated migration, expansion, and population
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10 458 **Data, code and materials**

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12 459 The simulation code is available at

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14 460 github.com/CreanzaLab/CulturalMigrationAndConnectivity.

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20 462 **Competing interests**

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22 463 We have no competing interests.

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27 465 **Authors' contributions**

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29 466 OK and NC designed the study, performed and analyzed simulations, and interpreted

30
31 467 results. OK, NC, and MWF wrote the manuscript and approved publication.

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781 **Figure Captions**

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783 **Figure 1.** The tool repertoire size of a population of size $2N$ (**A**) is much larger than the
784 sum of two populations of size N (**B**). In this example, a population has $\sim 40,000$ tools,
785 whereas the same population divided into two disconnected subpopulations has $\sim 8,000$
786 tools at steady state. In **B**, one population's cultural trajectory is shown. In both panels,
787 red indicates lucky leaps (visible in the inset of **B**), orange indicates toolkit innovations,
788 and yellow indicates combination tools. Other parameters in **A**: $Populations=1$, $N=50$,
789 $P_{lucky}=0.08$, $P_{combUseful}=1$, $P_{SpontLoss}=0.08$, $P_{migrate}=0$. **B**: $Populations=2$, $N=25$, $P_{lucky}=0.08$,
790 $P_{combUseful}=1$, $P_{SpontLoss}=0.08$, $P_{migrate}=0$.

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792 **Figure 2.** The effect of rare migration on cultural repertoire size. For $t=0$ to $t=2,000$,
793 there is no migration ($P_{migrate}=0$); after $t=2,000$, migration is possible but very rare
794 ($P_{migrate}=0.000025$). One population's cultural trajectory is shown. Migration events (red
795 dots on the x -axis) represent the arrival of a new individual to the population. Following
796 the initial burst of culture driven by the combinations between the existing tools and
797 those introduced by migration, there is a gradual decay back to the steady state. Other
798 parameters: $Populations=2$, $N=25$, $P_{lucky}=0.08$, $P_{combUseful}=1$, $P_{SpontLoss}=0.08$.

799

800 **Figure 3.** The effect of frequent migration on cultural repertoire size. As in Figure 2,
801 $P_{migrate}=0$ for $t=0$ to $t=2,000$; after $t=2,000$, $P_{migrate}=0.0001$ in **A** and $P_{migrate}=0.4$ in **B**.
802 Each panel illustrates one population's cultural trajectory. Migration events are indicated
803 by red dots on the x -axis; in **B**, these events occur so frequently (more than once per time

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3 804 step) that the dots are individually indistinguishable. As the overall migration rate
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5 805 increases, the cultural repertoire does not return to the original steady state between
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8 806 migration events; thus, migration effectively elevates the cultural steady state of the
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10 807 population. Other parameters (A-B): $Populations=2$, $N=25$, $P_{lucky}=0.08$, $P_{combUseful}=1$,
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12
13 808 $P_{SpontLoss}=0.08$.

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15 809

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17 810 **Figure 4.** The effect of frequent migration and changes in carrying capacity on cultural
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19 repertoire size. $P_{migrate}=0$ for $t=0$ to $t=2,000$; after $t=2,000$, $P_{migrate}=0.0001$ and
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21
22 812 $P_{IncreaseCarryingCapacity}=0.0001$ in **A**, and $P_{migrate}=0.005$ and $P_{IncreaseCarryingCapacity}=0.0005$ in **B**.
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24 813 Each panel illustrates one population's cultural trajectory. Red dots indicate migration
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26 814 events, and blue diamonds indicate the origin of innovations that trigger growth of
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28 815 carrying capacity. When migration is rare and innovations alter the carrying capacity
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30 816 relatively rarely, the cultural trajectory appears punctuated (**A**); changes to carrying
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32 817 capacity frequently occur following migration events due to the burst of new
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34 818 combinations that they induce. When migration is more frequent, innovations alter the
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36 819 capacity more often and the cultural repertoire increases rapidly without approaching a
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38 820 steady state (**B**). Other parameters (A-B): $Populations=2$, $N=25$, $P_{lucky}=0.08$, $P_{combUseful}=1$,
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40 821 $P_{SpontLoss}=0.08$. Each increase in carrying capacity (blue diamonds) is by a factor of
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42 822 between 1.1 and 1.2; by the end of the simulation shown, the population in panel A had
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44 823 reached $N=54$, and the population in panel B reached $N=65$.
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53 825 **Figure 5.** Co-development of partially connected populations. Panels A-C show cultural
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55 826 dynamics in three contemporaneous populations ($N_1=N_2=N_3=25$; color scheme as in
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3 827 previous figures). Panel **D** shows the fraction of cultural overlap of combination tools
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5 828 among populations: the mean fraction of tools in each population that are unique to that
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8 829 population (blue), the mean fraction of combination tools that are shared with one other
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10 830 population (cyan), and the mean fraction of combination tools that are common to all
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12 831 three populations (yellow). Each population's culture is unique ($P_{migrate}=0$) until $t=500$,
13
14 832 and cultural complexity is near steady state for long periods of time. From $t=500$ to
15
16 833 $t=800$, $P_{migrate}=0.0004$. During this phase, partially coordinated cultural change occurs,
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18 834 while each population remains culturally distinct: migration events (red dots) drive
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20 835 punctuated increases in cultural complexity (each migrant introduces into the new
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22 836 population each of the core technologies from its original population with probability
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24 837 $f_{migrant}=0.3$; the new combination tools that become possible drive the increase in cultural
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26 838 complexity), and inventions that increase biological carrying capacity spread quickly
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28 839 (blue dots, **A-C**). Overall repertoire sizes increase in all populations by similar orders of
29
30 840 magnitude, while cultural overlap of combination tools increases gradually, but with a
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32 841 significant fraction of each population's repertoire remaining unique (**D**). At $t=800$,
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34 842 $P_{migrate}$ is increased to 0.04. The frequent migration leads to a state reminiscent of a single
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36 843 large population, driving overall cultural repertoire sizes upwards sharply (**A-C**), and
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38 844 effectively near-homogenizing the populations' cultures (**D**). Other parameters (**A-D**):
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40 845 $P_{IncreaseCarryingCapacity}=0.01$, $P_{lucky}=0.02$, $P_{SpontLoss}=0.02$. Every increase of biological
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42 846 carrying capacity (blue dots) is by a factor of between 1.1 and 1.2, leading the
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44 847 populations to increase by the end of the simulation from $N_1=N_2=N_3=25$ to $N_1=36$,
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46 848 $N_2=39$, and $N_3=32$.

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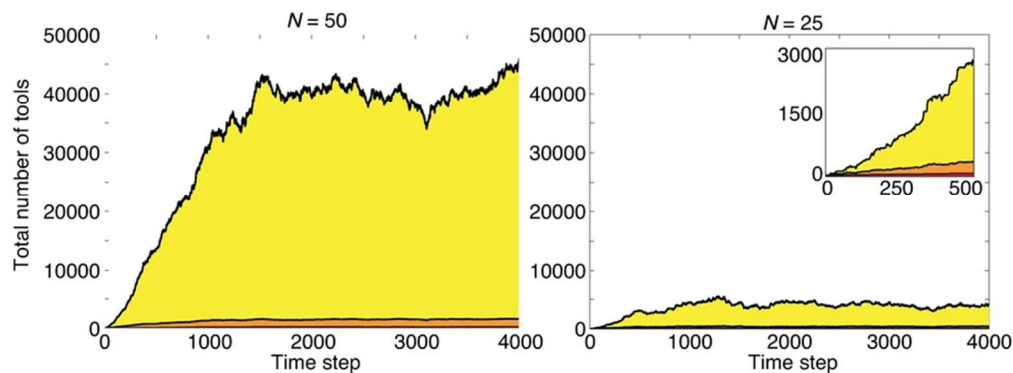


Figure 1. The tool repertoire size of a population of size $2N$ (A) is much larger than the sum of two populations of size N (B). In this example, a population has $\sim 40,000$ tools, whereas the same population divided into two disconnected subpopulations has $\sim 8,000$ tools at steady state. In B, one population's cultural trajectory is shown. In both panels, red indicates lucky leaps (visible in the inset of B), orange indicates toolkit innovations, and yellow indicates combination tools. Other parameters in A: Populations=1, $N=50$, Plucky=0.08, $P_{\text{combUseful}}=1$, $P_{\text{SpontLoss}}=0.08$, $P_{\text{migrate}}=0$. B: Populations=2, $N=25$, Plucky=0.08, $P_{\text{combUseful}}=1$, $P_{\text{SpontLoss}}=0.08$, $P_{\text{migrate}}=0$.

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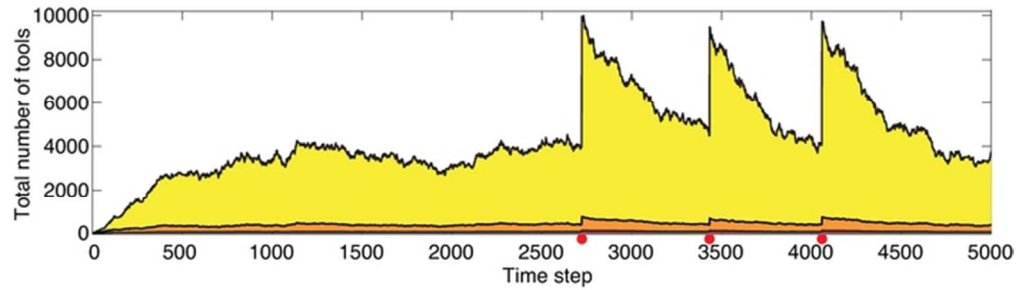


Figure 2. The effect of rare migration on cultural repertoire size. For $t=0$ to $t=2,000$, there is no migration ($P_{migrate}=0$); after $t=2,000$, migration is possible but very rare ($P_{migrate}=0.000025$). One population's cultural trajectory is shown. Migration events (red dots on the x-axis) represent the arrival of a new individual to the population. Following the initial burst of culture driven by the combinations between the existing tools and those introduced by migration, there is a gradual decay back to the steady state. Other parameters: Populations=2, $N=25$, Plucky=0.08, PcombUseful=1, PSpontLoss=0.08.

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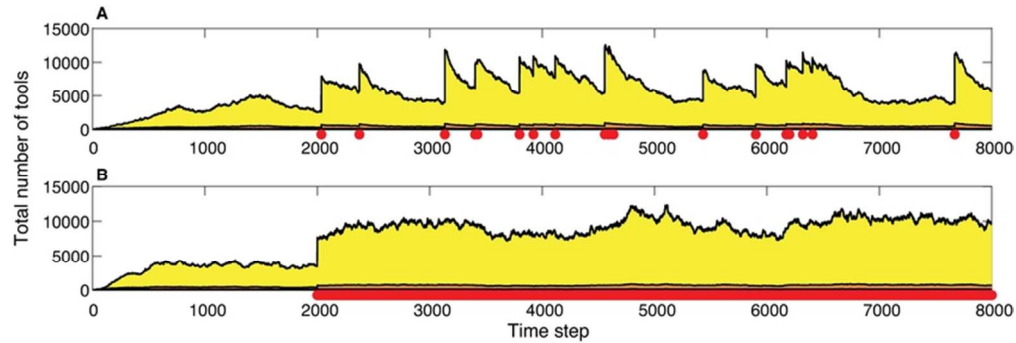


Figure 3. The effect of frequent migration on cultural repertoire size. As in Figure 2, $P_{\text{migrate}}=0$ for $t=0$ to $t=2,000$; after $t=2,000$, $P_{\text{migrate}}=0.0001$ in A and $P_{\text{migrate}}=0.4$ in B. Each panel illustrates one population's cultural trajectory. Migration events are indicated by red dots on the x-axis; in B, these events occur so frequently (more than once per time step) that the dots are individually indistinguishable. As the overall migration rate increases, the cultural repertoire does not return to the original steady state between migration events; thus, migration effectively elevates the cultural steady state of the population. Other parameters (A-B): Populations=2, $N=25$, Plucky=0.08, $P_{\text{combUseful}}=1$, $P_{\text{SpontLoss}}=0.08$.

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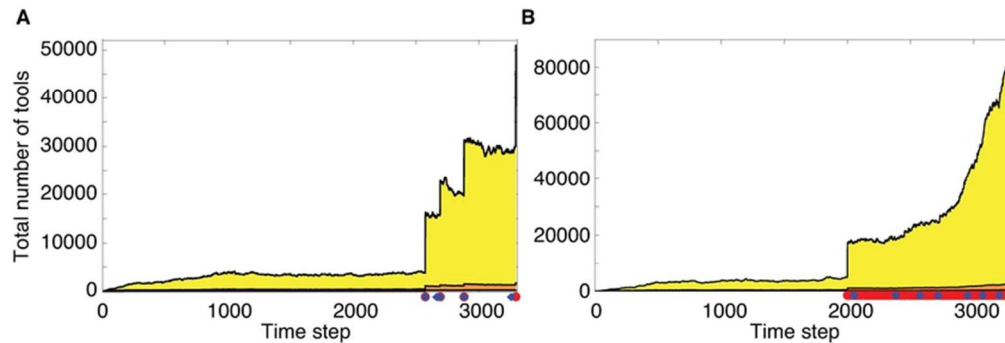


Figure 4. The effect of frequent migration and changes in carrying capacity on cultural repertoire size. $P_{\text{migrate}}=0$ for $t=0$ to $t=2,000$; after $t=2,000$, $P_{\text{migrate}}=0.0001$ and $P_{\text{increaseCarryingCapacity}}=0.0001$ in A, and $P_{\text{migrate}}=0.005$ and $P_{\text{increaseCarryingCapacity}}=0.0005$ in B. Each panel illustrates one population's cultural trajectory. Red dots indicate migration events, and blue diamonds indicate the origin of innovations that trigger growth of carrying capacity. When migration is rare and innovations alter the carrying capacity relatively rarely, the cultural trajectory appears punctuated (A); changes to carrying capacity frequently occur following migration events due to the burst of new combinations that they induce. When migration is more frequent, innovations alter the capacity more often and the cultural repertoire increases rapidly without approaching a steady state (B). Other parameters (A-B): Populations=2, $N=25$, Plucky=0.08, $P_{\text{combUseful}}=1$, $P_{\text{spontLoss}}=0.08$. Each increase in carrying capacity (blue diamonds) is by a factor of between 1.1 and 1.2; by the end of the simulation shown, the population in panel A had reached $N=54$, and the population in panel B reached $N=65$.

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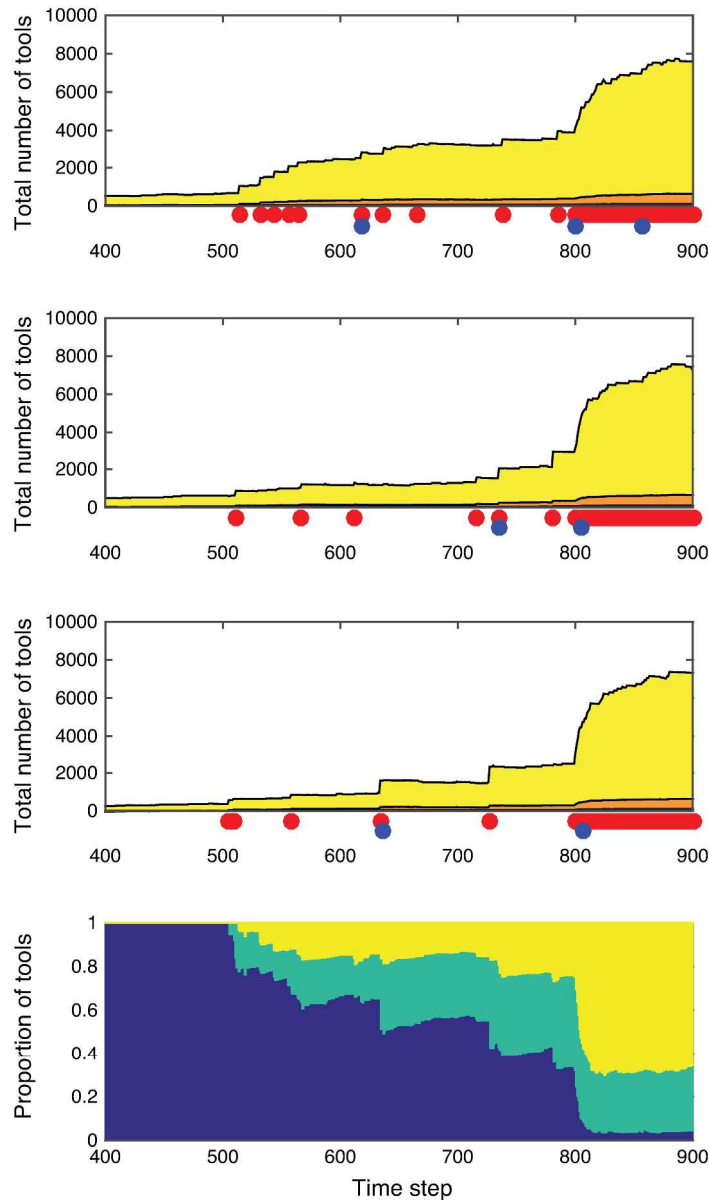


Figure 5. Co-development of partially connected populations. Panels A-C show cultural dynamics in three contemporaneous populations ($N_1=N_2=N_3=25$; color scheme as in previous figures). Panel D shows the fraction of cultural overlap of combination tools among populations: the mean fraction of tools in each population that are unique to that population (blue), the mean fraction of combination tools that are shared with one other population (cyan), and the mean fraction of combination tools that are common to all three populations (yellow). Each population's culture is unique ($P_{migrate}=0$) until $t=500$, and cultural complexity is near steady state for long periods of time. From $t=500$ to $t=800$, $P_{migrate}=0.0004$. During this phase, partially coordinated cultural change occurs, while each population remains culturally distinct: migration events (red dots) drive punctuated increases in cultural complexity (each migrant introduces into the new population each of the core technologies from its original population with probability $f_{migrant}=0.3$; the new combination tools that become possible drive the increase in cultural complexity), and inventions that increase biological carrying capacity spread quickly (blue dots, A-C). Overall repertoire sizes increase in all populations by similar orders of magnitude, while cultural overlap of combination tools increases gradually,

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3 but with a significant fraction of each population's repertoire remaining unique (D). At $t=800$, $P_{migrate}$ is
4 increased to 0.04. The frequent migration leads to a state reminiscent of a single large population, driving
5 overall cultural repertoire sizes upwards sharply (A-C), and effectively near-homogenizing the populations'
6 cultures (D). Other parameters (A-D): $P_{increaseCarryingCapacity}=0.01$, $Plucky=0.02$, $P_{spontLoss}=0.02$.
7 Every increase of biological carrying capacity (blue dots) is by a factor of between 1.1 and 1.2, leading the
8 populations to increase by the end of the simulation from $N_1=N_2=N_3=25$ to $N_1=36$, $N_2=39$, and $N_3=32$.

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